

Infrared dynamic polarizability of HD^+ rovibrational states

J.C.J. Koelemeij^{*,†}

A calculation of dynamic polarizabilities of rovibrational states with vibrational quantum number $v = 0 - 7$ and rotational quantum number $J = 0, 1$ in the $1s\sigma_g$ ground-state potential of HD^+ is presented. Polarizability contributions by transitions involving other $1s\sigma_g$ rovibrational states are explicitly calculated, whereas contributions by electronic transitions are treated quasi-statically and partially derived from existing data [R.E. Moss and L. Valenzano, *Molec. Phys.*, 2002, **100**, 1527]. Our model is valid for wavelengths $> 4 \mu\text{m}$ and is used to assess level shifts due to the blackbody radiation (BBR) electric field encountered in experimental high-resolution laser spectroscopy of trapped HD^+ ions. Polarizabilities of $1s\sigma_g$ rovibrational states obtained here agree with available existing accurate *ab initio* results. It is shown that the Stark effect due to BBR is dynamic and cannot be treated quasi-statically, as is often done in the case of atomic ions. Furthermore it is pointed out that the dynamic Stark shifts have tensorial character and depend strongly on the polarization state of the electric field. Numerical results of BBR-induced Stark shifts are presented, showing that Lamb-Dicke spectroscopy of narrow vibrational optical lines ($\sim 10 \text{ Hz}$ natural linewidth) in HD^+ will become affected by BBR shifts only at the 10^{-16} level.

1 Introduction

The molecular hydrogen ion (H_2^+) and its isotopomers (HD^+ , D_2^+ , HT^+ , *etc.*) are the simplest naturally occurring molecules. As such they are amenable to high-accuracy *ab initio* level structure calculations, which are currently approaching 0.1 ppb for rovibrational levels in the electronic ground potential¹. The inclusion of high-order QED terms in these calculations makes molecular hydrogen ions an attractive subject for experiments aimed at comparison with theory and tests of QED. With rovibrational states having lifetimes exceeding 10 ms it has long been recognized that optical (infrared) spectroscopy could provide accurate experimental input, and several experimental studies were undertaken^{2,3} or are currently in progress⁴. The highest accuracy that has hitherto been achieved is 2 ppb for a Doppler-broadened vibrational overtone transition at $1.4 \mu\text{m}$ in trapped HD^+ molecular ions, sympathetically cooled to 50 mK³. By comparison, the highest accuracy achieved in laser spectroscopy of laser-cooled atomic ions, tightly confined in the optical Lamb-Dicke regime, is $\sim 1 \times 10^{-17}$ in the case of the Al^+ optical clock at NIST Boulder, USA.⁵ The Al^+ optical clock employs quantum-logic spectroscopy (QLS) which utilizes entangled quantum states of two trapped ions, one of which is used for (ground-state) laser cooling and efficient state detection, whereas the other ion contains the transitions of spectroscopic interest⁶. It has been pointed out that Doppler-free spectroscopy may be performed on HD^+ as well³, and also that QLS may be used for spectroscopy of molecular ions⁷.

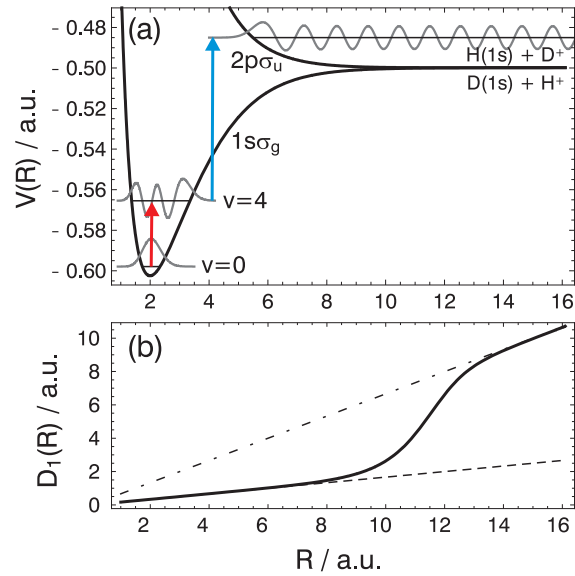


Fig. 1 (Color online)(a) Potential energy curves of the $1s\sigma_g$ and $2p\sigma_u$ electronic states. Indicated energy values are binding energies of the molecule. Shown also are radial nuclear (vibrational) wavefunctions, $\chi_v(R)$, for $v = 0, 4$ as well as one dissociating nuclear wavefunction in the $2p\sigma_u$ state. The red arrow represents a purely rovibrational transition within $1s\sigma_g$; the blue arrow exemplifies a transition between different electronic states. (b) Dipole moment function $D_1(R)$ used in the calculation of radial dipole matrix elements (solid curve), shown together with the approximate function used by Colbourn and Bunker⁸ (dashed line) and the fully g/u symmetry-broken dipole moment function, valid at long internuclear range (dot-dashed line).

^{*} LaserLaB, Vrije Universiteit, De Boelelaan 1081, 1081 HV Amsterdam, Netherlands. Fax: +31 (0)20 598 7992; Tel: +31 (0)20 589 7903; E-mail: koele@few.vu.nl

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Accurate results of laser spectroscopy of HD^+ are of interest for the determination of the value of the proton-electron

mass ratio, m_p/m_e , and for the search for a variation of m_p/m_e with time⁹. The former may be achieved by combining *ab initio* theoretical results with results from spectroscopy at an accuracy level of $\sim 10^{-10}$; for the latter spectroscopic results with an accuracy of $\sim 10^{-15}$ are required to improve on the current most stringent bounds^{10,11}. In both cases spectroscopy of optical transitions is faced with level shifts due to magnetic and electric fields and, to a lesser extent, shifts due to collisions and relativistic effects. The Zeeman effect of HD^+ was recently considered by Bakalov *et al.*, and level shifts to second order in the magnetic field were given for a large set of rovibrational states^{12,13}. Static polarizabilities of vibrational states with rotational quantum number $J = 0, 1$ were calculated and reported by several authors^{14,15}, while dynamic polarizabilities of HD^+ vibrational states with $J = 0$ were evaluated for a discrete set of two-photon transition wavelengths in the 1–18 μm wavelength range¹⁵. However, to our knowledge, no results on dynamic polarizabilities of HD^+ for vibrational states with $J > 0$ are available in literature. Polarizabilities of such states for a wide range of infrared wavelengths are required for the calculation of differential Stark shifts due to blackbody radiation (BBR). Moreover, since the BBR spectrum encompasses several rovibrational transitions of the HD^+ ion, it is expected that the quasi-static treatment of BBR-induced Stark shifts as often done in the case of atomic ion species is not valid for HD^+ . Rather, the case of HD^+ will be analogous to that of neutral polar molecules, for which BBR-induced Stark shifts were evaluated using dynamic polarizabilities¹⁶.

This Article addresses the (BBR-induced) dynamic Stark effect of HD^+ and is organized as follows. In Sec. 2 we present our model to calculate dynamic polarizabilities and BBR-induced Stark shifts, followed by a discussion of the results for several rovibrational states in the $1s\sigma_g$ ground-state potential of HD^+ in Sec. 3. Conclusions are presented in Sec. 4. Throughout this Article, the terms ‘Stark effect’ and ‘polarizability’ will be used interchangeably, and SI units will be used.

2 Theory

Figure 1(a) shows a partial energy level diagram of the HD^+ molecular ion including the electronic ground-state potential, $1s\sigma_g$, and the first electronically excited potential, $2p\sigma_u$. Note that in HD^+ the g/u symmetry quantum labels are only approximately good quantum labels as the nonidentical nuclei introduce g/u symmetry breaking at large internuclear range. The potential energy curves shown are interpolations of data published by Esry and Sadeghpour¹⁷, who present the potential energy as the sum of a nonrelativistic, fully adiabatic curve, and a diagonal nonadiabatic correction. We use these curves to obtain (real-valued) radial wavefunctions of

nuclear motion, $\chi_{vJ}(R)$, by numerical solution of the radial Schrödinger equation including the centrifugal term due to the molecular rotation:

$$-\frac{\hbar^2}{2\mu} \frac{d^2}{dR^2} \chi_{vJ}(R) + \left[V_i(R) + \frac{\hbar^2 J(J+1)}{2\mu R^2} \right] \chi_{vJ}(R) = E_{vJ} \chi_{vJ}(R), \quad (1)$$

where R denotes the internuclear separation, μ stands for the nuclear reduced mass of the molecule, v labels the vibrational state, J is the rotational angular momentum of the molecule, and $E_{v,J}$ is the rovibrational energy. $V_i(R)$ are the potential energy curves for the $i = 1s\sigma_g, 2p\sigma_u$ states taken from Esry and Sadeghpour¹⁷, who also provide dipole moment functions $D_1(R)$ and $D_{12}(R)$. These correspond to the dipole moment of the $1s\sigma_g$ state and the dipole moment of electronic transitions between $1s\sigma_g$ and $2p\sigma_u$, respectively.

The dynamic polarizability corresponds to the ability of the HD^+ molecule to deform under the influence of an oscillating electric field, and depends on the strengths and frequencies of many electric dipole transitions in both the nuclear and the electronic degrees of freedom. Laser spectroscopy on HD^+ is typically performed on transitions between low-lying rovibrational levels in the $1s\sigma_g$ state, and it is the dynamic polarizability of these levels that we will focus on here. The dynamic polarizability, $\alpha(\omega)$, is defined as follows. A quantum state with quantum numbers (v, J, M) (with M corresponding to the projection of \mathbf{J} on the space-fixed z -axis) and energy E_{vJM} will undergo an energy shift ΔE due to the interaction with a monochromatic electric field with amplitude \mathcal{E} , polarization state q , and angular frequency ω equal to

$$\Delta E = -\frac{1}{4} \alpha_{vJM}^q(\omega) \mathcal{E}^2 \quad (2)$$

In the remainder of this Article, the polarization state $q \in (-1, 0, 1)$ will be taken $q = 0$ (*i.e.* linear polarization parallel to the space-fixed z -axis) and we will omit the label q altogether; see Sec. 2.1. The dynamic polarizability can be written as $\alpha_{vJM}(\omega) = \alpha_{vJM}^{rv}(\omega) + \alpha_{vJM}^e(\omega)$, where $\alpha_{vJM}^{rv}(\omega)$ stands for the contribution by transitions coupling to other $1s\sigma_g$ rovibrational states, and $\alpha_{vJM}^e(\omega)$ accounts for the contributions by all transitions connecting to electronically excited states. To simplify the calculation, we will restrict ourselves to the two strongest sets of transitions from the rovibrational states of interest. These are (1) purely rovibrational transitions within the electronic ground state $1s\sigma_g$, and (2) electronic dipole transitions to dissociating states in $2p\sigma_u$.

2.1 Dynamic polarizability due to rovibrational transitions in $1s\sigma_g$

As a starting point we will use the energy shift derived using time-dependent second-order perturbation theory, [Eq. (7.73)]

Table 1 Static polarizabilities (in units of $4\pi\epsilon_0 a_0^3$) for vibrational states with $J = 0$. Total polarizabilities $\alpha_{vJM}(0)$ were taken from Moss and Valenzano¹⁴. Individual rovibrational and electronic contributions $\alpha_{vJM}^{rv}(0)$ and $\alpha_{vJM}^e(0)$, respectively, are also specified. Entries in the rightmost column are obtained from those in the other columns as $\alpha_{vJM}(0) - \alpha_{vJM}^{rv}(0)$

v	$\alpha_{vJM}(0)$ (Ref. [14])	$\alpha_{vJM}^{rv}(0)$ (this work)	$\alpha_{vJM}^e(0)$
0	395.306	392.2	3.1
1	462.65	458.9	3.8
2	540.69	536.2	4.5
3	631.4	625.9	5.5
4	737.3	730.7	6.6
5	861.7	853.5	8.2
6	1008	998.6	9.4
7	1184	1171	13

Table 2 Comparison of purely electronic static polarizabilities $\alpha_{vJM}^e(0)$ (in units of $4\pi\epsilon_0 a_0^3$) for vibrational states with $J = 0$ of HD^+ with accurate values for vibrational states with $J = 0$ of H_2^+ and D_2^+ , calculated by Hilico *et al.*¹⁸ Note that for H_2^+ and D_2^+ the static polarizability stems from electronic transitions only

v	H_2^+ ¹⁸	HD^+ (this work)	D_2^+ ¹⁸
0	3.168 725 803	3.1	3.071 988 696
1	3.897 563 360	3.8	3.553 025 791
2	4.821 500 365	4.5	4.119 581 678
3	6.009 327 479	5.5	4.791 282 711
4	7.560 453 090	6.6	5.593 314 877
5	9.621 773 445	8.2	6.558 318 701
6	12.41 599 987	9.4	7.729 054 615
7	16.290 999 14	13	9.162 209 589

in the textbook by Sobelman¹⁹,

$$\Delta E_{vJM}(\omega) = \frac{1}{2\hbar} \mathcal{E}^2 \sum_{v', J', M'} \frac{\omega_{vv'JJ'}}{\omega_{vv'JJ'}^2 - \omega^2} |D_{vv'JJ'MM'q}|^2, \quad (3)$$

where v, J, M and v', J', M' are the quantum numbers of the initial and final states, respectively. Here we assume that the states (v, J, M) are degenerate in the quantum number M . It is furthermore important to note the role of the sign in the definition of $\omega_{vv'JJ'}$:

$$\omega_{vv'JJ'} = (E_{v'J'} - E_{vJ})/\hbar. \quad (4)$$

Hence, $\omega_{vv'JJ'} > 0$ for transitions to more highly-excited states and $\omega_{vv'LL'} < 0$ for transitions to lower states. For purely rovibrational transitions, the squared dipole transition

matrix element $|D_{vv'JJ'MM'q}|^2$ reduces to²⁰

$$\begin{aligned} |D_{vv'JJ'MM'q}|^2 &= |\langle JM | \mathcal{D}_{-q0}^*(\omega_E) | J'M' \rangle|^2 \mu_{vv'JJ'}^2 \\ &= (2J+1)(2J'+1) \begin{pmatrix} J & 1 & J' \\ 0 & 0 & 0 \end{pmatrix}^2 \\ &\quad \times \begin{pmatrix} J & 1 & J' \\ -M & -q & M' \end{pmatrix}^2 \mu_{vv'JJ'}^2, \end{aligned} \quad (5)$$

with

$$\mu_{vv'JJ'}^2 = \left| \int_0^\infty \chi_{v'J'}(R) D_1(R) \chi_{vJ}(R) dR \right|^2. \quad (6)$$

The dipole matrix element $\mu_{vv'JJ'}$ is a vector oriented along the internuclear axis of the HD^+ molecule. Therefore, in order to evaluate the matrix elements, $\mu_{vv'JJ'}$ needs to be transformed from the molecule-fixed to the space-fixed frame by rotation about the set of Euler angles ω_E , which is implemented through the rotation operator $\mathcal{D}_{-q0}^*(\omega_E)$ in the first factor in Eq. (5). In arriving at the second line of Eq. (5) we use the fact that for states with $\Lambda = 0$ (like for $1s\sigma_g$, while ignoring the spins of the proton, deuteron and electron) the projection of J on the internuclear axis is zero. As stated in Sec. 2, we will consider the case $q = 0$ only.

The squared matrix elements $\mu_{vv'JJ'}^2$ are readily evaluated using the numerical expressions for wavefunctions and dipole moment functions introduced above. The expression for $\alpha_{vJM}^{rv}(\omega)$ is obtained after inserting Eqs. (4) and (5) into Eq. (3), followed by equating Eq. (3) to Eq. (2) and solving for $\alpha_{vJM}^{rv}(\omega)$ (momentarily assuming that $\alpha_{vJM}^e(\omega) = 0$). As we here focus on low-lying vibrational levels and dipole transitions only, we will truncate the summation in Eq. (3) to $v = 9$, and also ignore the contribution by purely rovibrational transitions to continuum states above the $1s\sigma_g$ dissociation limit. This is justified as the line strength of vibrational overtones decreases rapidly with increasing order of the overtone. The summation is furthermore limited to terms obeying the selection rule $J' = J \pm 1$.

2.2 Polarizability due to electronic transitions

For static electric fields ($\omega \rightarrow 0$), it is known that $\alpha_{vJM}^{rv}(\omega) \gg \alpha_{vJM}^e(\omega)$ ¹⁴. This may not necessarily be the case for infrared frequencies, for which $\alpha_{vJM}^{rv}(\omega)$ is expected to be smaller as spectrally nearby vibrational overtones are generally weak, whereas the detuning from strong rotational transitions and fundamental vibrations is large. Thus, there may be spectral regions where $\alpha_{vJM}^e(\omega)$ becomes comparable in magnitude to $\alpha_{vJM}^{rv}(\omega)$. However, transitions from low-lying $1s\sigma_g$ rovibrational states to $2p\sigma_u$ states are located in the ultraviolet (UV) or even in the vacuum-ultraviolet (VUV) spectral range. Since the frequencies present in the $T = 300$ K BBR spectrum are in the infrared (peak emission wavelength $\sim 10 \mu\text{m}$), it seems

Table 3 Static polarizabilities (in units of $4\pi\epsilon_0 a_0^3$) for vibrational states with $J = 1$. Total polarizabilities $\alpha_{vJM}(0)$ were taken from Moss and Valenzano¹⁴. Individual rovibrational and electronic contributions $\alpha_{vJM}^{rv}(0)$ and $\alpha_{vJM}^e(0)$, respectively, are also specified. For each M value, entries in the rightmost column are obtained from those in the other columns as $\alpha_{vJM}^{rv}(0) - \alpha_{vJM}^e(0)$

v	$M = 0$			$ M = 1$		
	$\alpha_{vJM}(0)$ (Ref. [14])	$\alpha_{vJM}^{rv}(0)$ (this work)	$\alpha_{vJM}^e(0)$	$\alpha_{vJM}(0)$ (Ref. [14])	$\alpha_{vJM}^{rv}(0)$ (this work)	$\alpha_{vJM}^e(0)$
0	-229.986	-234.1	4.2	120.979	118.4	2.6
1	-268.90	-274.0	5.1	141.50	138.5	3.0
2	-313.87	-320.2	6.4	165.29	161.7	3.6
3	-366.00	-373.9	7.9	192.95	188.8	4.2
4	-426.66	-436.6	9.9	225.26	220.3	4.9
5	-497.57	-510.0	12	263.22	257.3	5.9
6	-580.99	-596.7	16	308.11	301.0	7.1
7	-679.82	-699.9	20	361.66	353.0	8.7

justified to regard the BBR electric field as static where it concerns $\alpha_{vJM}^e(\omega)$. In Sec. 3.3.1 it will be further justified that for this reason, $\alpha_{vJM}^e(0)$ is a good approximation to $\alpha_{vJM}^e(\omega)$.

Rather than deriving the static polarizability $\alpha_{vJM}^e(0)$ from second-order perturbation theory, we extract its values from previously published and accurate static polarizabilities $\alpha_{vJM}^{rv}(0)$, obtained by a full nonadiabatic calculation by Moss and Valenzano¹⁴, as follows. From each of the total static polarizabilities $\alpha_{vJM}(0)$ tabulated by Moss and Valenzano, we subtract our value for $\alpha_{vJM}^{rv}(0)$ calculated using the procedure described in Sec. 2.1 to obtain $\alpha_{vJM}^e(0)$.

3 Results and discussion

3.1 Rovibrational wavefunctions and dipole matrix elements

Before discussing the results of our method to obtain $\alpha_{vJM}(\omega)$, it will be worthwhile to investigate the accuracy of the wavefunctions $\chi_{vJ}(R)$ and energy levels E_{vJ} obtained from Eq. (1), as well as the accuracy of the radial dipole matrix elements $\mu_{vv'JJ'}$ calculated using Eq. (6). From comparisons with more accurate nonrelativistic level calculations for HD^+ ⁹ the inaccuracy of the energies E_{vJ} calculated here is found to be a few parts in 10^5 (or less than 0.5 cm^{-1}), in correspondence with the accuracy specified by Esry and Sadeghpour¹⁷. The accuracy of the energy levels also gives an indication of the accuracy of the wavefunctions $\chi_{vJ}(R)$.

In order to check the accuracy of the radial matrix elements $\mu_{vv'JJ'}$, a comparison can be made with values calculated by Colbourn and Bunker⁸. Here it is important to note, however, that Colbourn and Bunker ignore effects of g/u symmetry breaking by using a dipole moment function $D_{\text{CB}}(R) \approx eR/6$ (with e the electron charge)⁸. This functional form is valid

at short internuclear range, where effects of g/u symmetry breaking are small. However, for large internuclear separation in the $1s\sigma_g$ state of HD^+ , the electron sits primarily at the deuteron, which leads to a dipole moment function varying for large R as $\approx (2/3)eR$. The function $D_1(R)$ provided by Esry and Sadeghpour includes effects of g/u symmetry breaking, as illustrated in Fig. 1(b). To compare with the results by Colbourn and Bunker, we first use our $\chi_{vJ}(R)$ with $D_{\text{CB}}(R)$ to obtain matrix elements $\mu_{vv'JJ'}^{\text{CB}}$. We find agreement at the level of a few times 10^{-5} , consistent with the accuracy of both our wavefunctions $\chi_{vJ}(R)$ and those used by Colbourn and Bunker, which produce energy levels with similar accuracy. A second calculation using $D_1(R)$ instead of $D_{\text{CB}}(R)$ leads to radial matrix elements differing from those by Colbourn and Bunker at the level of 2×10^{-3} for transitions $v' = 1 - v = 0$, and 4×10^{-3} for $v' = 5 - v = 4$. This difference we attribute to the inclusion of g/u symmetry-breaking effects in $D_1(R)$, and may be considered an improvement over the values by Colbourn and Bunker. We put an conservative error margin of 25% on this difference, thereby placing an upper bound of 1×10^{-3} on the accuracy of the matrix elements $\mu_{vv'JJ'}$.

3.2 Static polarizability results

3.2.1 Accuracy of $\alpha_{vJM}^{rv}(0)$. The results of Sec. 2.1 enable us to calculate dynamic polarizabilities $\alpha_{vJM}^{rv}(\omega)$. To assess the accuracy of these calculations, we have checked the dependence of the static polarizability $\alpha_{vJM}^{rv}(0)$ on the accuracy of both the energy levels and the radial matrix elements used. Computing $\alpha_{vJM}^{rv}(0)$ once with the eigenvalues E_{vJ} of Eq. (1), and once with accurate energy levels published by Moss²¹ (accuracy better than 0.001 cm^{-1}), we find that $\alpha_{vJM}^{rv}(0)$ varies by $\sim 1 \times 10^{-4}$. A similar check is done by using values $|\mu_{vv'JJ'}|^2$ computed using $D_{\text{CB}}(R)$ and $D_1(R)$, respectively. The effect of the improved values on $\alpha_{vJM}^{rv}(0)$ is a few times 10^{-3} . Placing again a conservative bound of 25% on the accuracy of this improvement, the accuracy of our

§ This expression follows from evaluating the $\text{HD}^+ 1s\sigma_g$ dipole moment with respect to the center of mass at the equilibrium internuclear separation, for which the electron on average sits halfway the two nuclei.

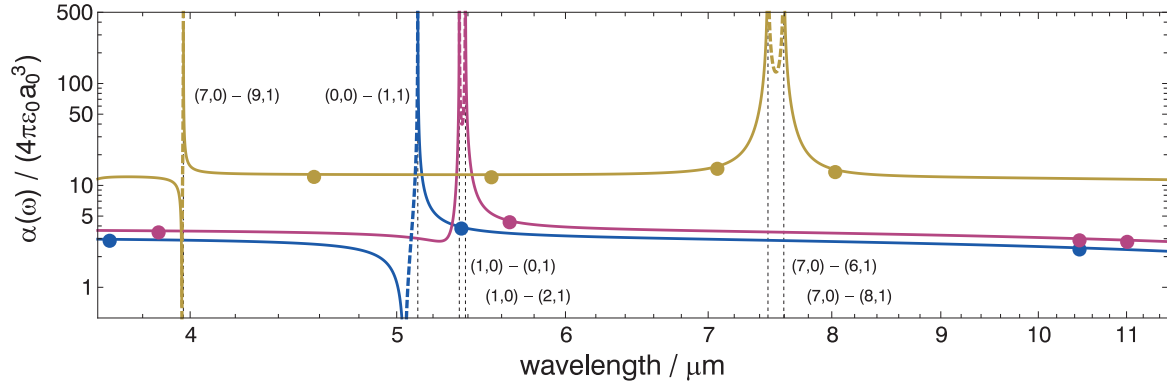


Fig. 2 (Color online) Dynamic polarizabilities versus wavelength ($\lambda = 2\pi c/\omega$) for states with $J = 0$ and, from bottom to top, $v = 0, 1, 7$, respectively. The curves were produced using Eq. (7). For each curve, dashed segments correspond to negative values, while solid segments correspond to positive values. Each 'dip' or 'peak' in a curve corresponds to a zero crossing of the polarizability. Vertical dashed lines indicate the position of rovibrational transitions $(v, J) - (v', J')$ coupling to $J' = 1$ states. The dots show the more accurate values calculated for specific wavelengths by Karr *et al.*¹⁵, which agree with our result to within 3%.

value of $\alpha_{vJM}^{rv}(0)$ is found to be $\leq 1 \times 10^{-3}$. We also monitored the effect of the truncation of Eq. (3) to $v' = 9$. This has no noticeable effect at the 1×10^{-3} level for states $v \leq 7$.

3.2.2 Accuracy of $\alpha_{vJM}^e(0)$. As described in Sec. 2.2, the values $\alpha_{vJM}^{rv}(0)$ may be combined with previously published values $\alpha_{vJM}(0)$ to extract $\alpha_{vJM}^e(0)$. Thus-found values of $\alpha_{vJM}^e(0)$ are presented in Tables 1 and 3. We find that $\alpha_{vJM}^e(0)$ contributes to $\alpha_{vJM}(0)$ at the 1% level. Given the $\leq 1 \times 10^{-3}$ accuracy of our results for $\alpha_{vJM}^{rv}(0)$, we are lead to believe that the values of $\alpha_{vJM}^e(0)$ inferred here are accurate to within 10%.

It is furthermore interesting to compare the values of $\alpha_{vJM}^e(0)$ obtained here with static polarizabilities of the isotopomers H_2^+ and D_2^+ , which were calculated with high accuracy for vibrational states with $J = 0$ by Hilico *et al.*¹⁸. In Table 2 it can be seen that for each vibrational state, the HD^+ value lies in between the values for H_2^+ and D_2^+ . This is explained by the fact that the energy of a given vibrational state scales as $\sqrt{1/\mu}$, with μ the reduced nuclear mass of the isotopomer. Thus, for large reduced mass, vibrational levels are more deeply bound and therefore exhibit a smaller static polarizability. As the variation of binding energy is small compared to the typical energies of transitions to $2p\sigma_u$ states, the mass scaling of the polarizability is approximately linear, and the value for HD^+ should be located halfway the values for H_2^+ and D_2^+ as in Table 2.

3.3 Dynamic polarizability results

3.3.1 Accuracy of the approximation. As discussed in Sec. 2.2, we will approximate the dynamic polarizability

$\alpha_{vJM}(\omega) = \alpha_{vJM}^{rv}(\omega) + \alpha_{vJM}^e(\omega)$ by the expression

$$\alpha_{vJM}(\omega) \approx \alpha_{vJM}^{rv}(\omega) + \alpha_{vJM}^e(0). \quad (7)$$

For the infrared spectral range of interest here ($\lambda \geq 4 \mu\text{m}$) we believe that by approximating $\alpha_{vJM}^e(\omega)$ by $\alpha_{vJM}^e(0)$ we systematically underestimate the magnitude of the shift due to $\alpha_{vJM}^e(\omega)$ alone by less than 10% (details of this estimate are postponed to the Appendix). This is comparable to the uncertainty of the values $\alpha_{vJM}^e(0)$ reported in Tables 1 and 3. In order to verify the accuracy, we compare the result of Eq. (7) with the more accurate values calculated by Karr *et al.* for a discrete set of wavelengths for states with $J = 0$ (Fig. 2). The results of the two methods are found to agree within 1% for $v = 0$ and within 3% for $v = 7$. As the comparison is made for relatively short wavelengths, for which the polarizability stems almost entirely from $\alpha_{vJM}^e(\omega)$, the level of agreement is consistent with the estimated error of $\leq 10\%$ in the value of $\alpha_{vJM}^e(0)$.

The result for $\alpha_{vJM}^e(0)$ obtained here is more useful than one would expect on the basis of its error margin for two reasons. First, for dynamic Stark shifts due to BBR (found by integrating the dynamic Stark shift over the BBR electric field spectral density; see Eq. (9) and the Appendix), we estimate the error introduced by the quasi-static approximation to be even smaller, $\leq 3\%$. Second, for spectroscopy one is primarily concerned with differential level shifts, for which the systematic errors in $\alpha_{vJM}(\omega)$ will partially cancel.

3.3.2 Dependence on $|M|$ and polarization state. It was mentioned in Sec. 2.1 that Eq. (7) tacitly assumes linearly polarized electric fields. For obtaining the shift due to unpolarized, incoherent BBR, it is necessary to average over the three independent polarization states $q = -1, 0, 1$. It may be shown

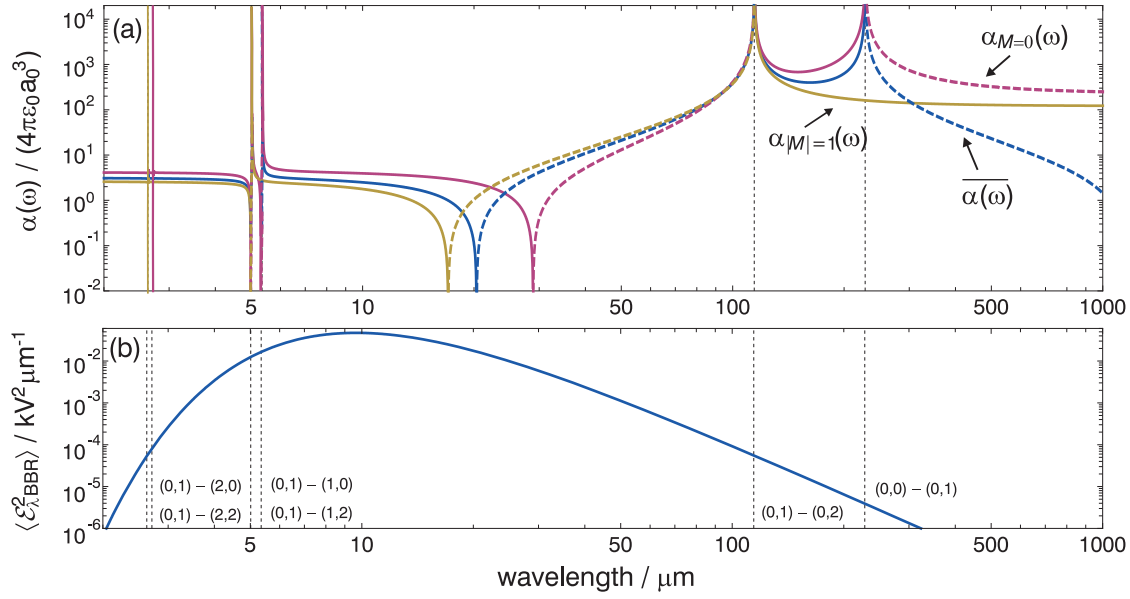


Fig. 3 (Color online) (a) Dynamic polarizabilities versus wavelength ($\lambda = 2\pi c/\omega$) for various states with $v = 0, J = 1$, computed using Eq. (7). For each curve, dashed segments correspond to negative values, while solid segments correspond to positive values. Each 'dip' or 'peak' in a curve corresponds to a zero crossing of the polarizability. Vertical dashed lines indicate the position of rovibrational transitions $(v, J) - (v', J')$ coupling to $J' = 0, 2$ states. The curves show marked tensorial differences between different M -states for polarized electric fields. It is also seen that for shorter wavelengths the contribution by rovibrational transitions becomes less significant, and that the electronic contribution becomes dominant instead. Furthermore, the magnitude of the average polarizability is seen to decrease towards longer wavelengths, which can be interpreted as an geometric averaging effect of the molecular rotation. (b) Mean-square electric field spectral density of the BBR at $T = 300$ K. The BBR spectrum encompasses several rovibrational transitions, which implies that the Stark effect due to BBR is dynamic. Furthermore, the BBR spectrum covers both the rovibrationally-dominated (long-wavelength) polarizability range and the electronically-dominated (short-wavelength) range. This illustrates the need to include both rovibrational and electronic polarizabilities in a calculation of dynamic Stark shifts due to BBR.

from Eq. (5) that this is equivalent to averaging Eq. (7) over all M states:

$$\overline{\alpha_{vJ}(\omega)} = \frac{1}{(2J+1)} \sum_M \alpha_{vJM}(\omega), \quad (8)$$

leading to a shift $\Delta E_{vJ}^{\text{BBR}}(T)$ due to the BBR mean-square electric field density $\langle \mathcal{E}_{\text{BBR}}^2(\omega, T) \rangle$ of

$$\Delta E_{vJ}^{\text{BBR}}(T) = -\frac{1}{2} \int_0^\infty \overline{\alpha_{vJ}(\omega)} \langle \mathcal{E}_{\text{BBR}}^2(\omega, T) \rangle d\omega. \quad (9)$$

In our model, $\overline{\alpha_{vJ}(\omega)}$ involves a summation over terms which diverge for frequencies equal to their respective rovibrational transition frequencies (Eqs. (2) and (3)). The integration over this sum is performed as follows. First, the convergence properties of the sum and BBR density function (Eq. (A.5) in the Appendix) allow to interchange the summation and integral signs, after which Eq. (9) is evaluated as a series of Cauchy principal value integrals.

We stress that the average polarizability (Eq. (8)) can be applied to unpolarized, incoherent electric fields only. To illus-

trate this, we plot (for $v = 0$ and $J = 1$) both the average polarizability $\alpha_{vJ}(\omega)$ and the polarizabilities for linearly polarized electric fields $\alpha_{vJM}(\omega)$ and $|M| = 0, 1$ in Fig. 3(a). For long wavelengths, $\alpha_{vJM}(\omega)$ is dominated by purely rovibrational transitions. This contrasts the situation for $\alpha_{vJ}(\omega)$, in which the rovibrational contributions to the polarizability average out due to the molecular rotation (see also Fig. 4). Several rotational and vibrational transitions occur which decay by spontaneous emission (spontaneous lifetime ~ 10 ms²²). Hyperfine structure (which is ignored in our model) of these transitions covers a spectral range of about 1 GHz¹³, which would not be visible on the scale of Fig. 3(a). For wavelengths shorter than 20 μm , electronic transitions start to dominate the dynamic polarizability, except for narrow spectral regions near vibrational transitions where the rovibrational polarizability diverges. Another remarkable feature is the absence of certain divergences in the $J = 1, |M| = 1$ polarizabilities which do appear in the $J = 1, M = 0$ polarizability. This is due to the selection rule $M' - M = 0$ appertaining to electric fields linearly polarized along the z -axis (as assumed here). As a consequence, states with $J = 1, M = 0$ are coupled to states

Table 4 Dynamic Stark shifts (in mHz) due to $T = 300$ K BBR for various vibrational states with $J = 0, 1$

v	$J = 0$			$J = 1$		
	Contribution $\alpha_{v,J}^{rv}(\omega)$	Contribution $\alpha_{v,J}^e(\omega)$	Total	Contribution $\alpha_{v,J}^{rv}(\omega)$	Contribution $\alpha_{v,J}^e(\omega)$	Total
0	35	-27	8.3	32	-27	4.6
1	38	-33	5.5	34	-32	1.9
2	41	-39	1.6	37	-39	-1.9
3	43	-47	-3.5	40	-47	-7.1
4	46	-57	-11	43	-57	-14
5	49	-70	-21	46	-70	-24
6	52	-81	-29	49	-86	-37
7	55	-111	-56	52	-107	-55

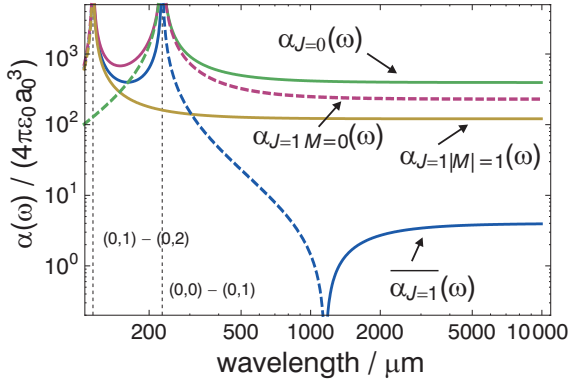


Fig. 4 (Color online) Long-range wavelength behavior of the $v = 0, J = 1$ polarizabilities shown in Fig. 3(a). Dashed segments of each curve correspond to negative-valued polarizabilities, solid segments to positive values. Vertical dashed lines indicate the position of rovibrational transitions $(v, J) - (v', J')$ coupling to $J = 0, 2$ states. In addition, the polarizability of the $(v = 0, J = 0)$ state is shown, which is strictly scalar. Due to the absence of rotation, for this state the average polarizability due to rovibrational transitions does not average out as for $J = 1$ states.

with $J' = 0$, whereas states with $J = 1, |M| = 1$ are not, which explains the absence of $J' = 0 - J = 1$ divergences for $|M| = 1$ polarizabilities. As expected, the average polarizability $\alpha_{v,J}(\omega)$ contains all divergences.

Figure 4 shows the behavior of the dynamic polarizabilities of $J = 0, 1$ states at very long wavelengths (electric field frequency approaching dc). Here, it is clearly visible that the 'rotationless' $J = 0$ state has large polarizability as there is no averaging effect by the rotation. In general, the dynamic polarizabilities display strong tensorial behavior, in particular in cases where the electric field is polarized. This is an important feature to bear in mind if Stark shifts due to the radio-frequency electric fields used in ion traps are to be considered, as these fields have a well-defined polarization.

3.3.3 Results for BBR shift. As is obvious from Fig. 3(b), the Stark effect due to BBR at $T = 300$ K is dy-

namic. This situation differs radically from that for atomic ions, for which the Stark effect due to BBR radiation can be often treated quasi-statically. Thus, the treatment of systematic shifts in spectroscopy of HD^+ must be done with extra care, despite the fact that QLS of HD^+ molecular ions in the Lamb-Dicke regime may be done in a similar way as for atomic ions^{3,7}.

Dynamic Stark shifts due to $T = 300$ K BBR to several rovibrational levels are calculated by numerical integration of Eq. (9) using the Cauchy principal value package of the *Mathematica* computational program. Results are tabulated in Table 4, in which we also specify the individual rovibrational and electronic contributions. The rovibrational contributions turn out to produce positive level shifts. This can be understood qualitatively from Figs. 3(a) and (b). Indeed, the BBR spectrum samples primarily the rovibrationally-dominated spectral region ($\lambda > 20 \mu\text{m}$) where the polarizability attains negative values, leading to a positive level shift by virtue of Eq. (2). On the other hand, BBR wavelengths below $20 \mu\text{m}$ primarily polarize the electronic structure of the molecule for which the polarizability is positive, and which explains the negative shift introduced by the electronic contribution (Table 4). We also calculate differential BBR shifts to several transitions which may be amenable to Lamb-Dicke spectroscopy (Table 5). For optical transitions, the differential shifts are relatively small and contribute at the level of 10^{-16} . Assuming that the temperature of the BBR field in an experimental apparatus⁵ can be determined to within ± 10 K, we find from Eq. (9) that the BBR shift to optical transitions can be inferred from the polarizabilities derived here with relative accuracy better than 40%, or well below 10^{-16} relative to the transition frequency. It should be noted that the shifts are much smaller than both the HD^+ hyperfine splittings²³ and Zeeman shifts due to magnetic fields typically encountered in experiments³. A more refined analysis of BBR shifts should therefore include the Zeeman effect as well as the hyperfine structure.

Table 5 Differential dynamic Stark shifts (mHz) due to BBR at $T = 300$ K for various rovibrational transitions

$(v', J') - (v, J)$	Wavelength/ μm	Contribution $\alpha_{vJ}^{rv}(\omega)$	Contribution $\alpha_{vJ}^e(\omega)$	Total	Relative / 10^{-16}
$(0, 1) - (0, 0)$	227.98	-3.9	0.2	-3.7	-28.2
$(1, 0) - (0, 1)$	5.3499	6.5	-5.5	0.9	0.16
$(4, 1) - (0, 0)$	1.4040	7.1	-30	-23	-1.1
$(4, 0) - (0, 1)$	1.4199	15	-30	-15	-0.72

4 Conclusion

The dynamic polarizability of rovibrational states in the $1s\sigma_g$ electronic state of HD^+ has been evaluated by combining existing data on static polarizabilities with numerical calculations done using a simplified model of the HD^+ molecule. As a result of these numerical calculations, new values for radial dipole transition matrix elements were obtained which can be regarded as an improvement over existing values⁸. The thus found dynamic polarizabilities point out that the Stark effect due to BBR – an important systematic effect in optical spectroscopy of atomic ions and optical clocks – is highly dynamic for the molecular ion HD^+ , in contrast to BBR shifts to optical transitions in atomic ions²⁴. In this respect, the case of HD^+ is similar to that of neutral molecules¹⁶. It is furthermore pointed out that the sign and magnitude of infrared dynamic polarizabilities depend strongly on the polarization state of the electric fields present. This insight is important for the evaluation of another well-known systematic shift in high-resolution spectroscopy of trapped ions, namely the Stark shift due to the trapping electric fields²⁵. Notwithstanding these salient features of the HD^+ polarizability, it is shown that $T = 300$ K BBR shifts become important for optical spectroscopy of HD^+ only at the 10^{-16} level. The smallness of the BBR level shifts furthermore suggests that future, more refined polarizability calculations should take magnetic-field interactions and hyperfine structure into account.

A Appendix

Here, we justify the approximations presented in Sec. 3.3.1. We start by noting that except the $2p\sigma_u$ electronically excited state, all excited-state potential energy curves are located at large internuclear range, and that these excited states are connected to $1s\sigma_g$ states by VUV transitions having very poor Franck-Condon overlap with $1s\sigma_g$ states with low vibrational quantum number¹⁷. Therefore, it is reasonable to assume that the larger part of $\alpha_{vJM}^e(\omega)$ stems from bound-free transitions from $1s\sigma_g$ to $2p\sigma_u$, and that we can use the $2p\sigma_u$ potential energy curve of Esry and Sadeghpour¹⁷ to estimate the effect of ignoring the dynamic part of the polarizability $\alpha_{vJM}^e(\omega)$. To this end, we need to consider the dynamic Stark shift due to bound-free transitions. A bound state, subject to an oscillating

electric field with photon energy $E = \hbar\omega$, will undergo an energy shift $\hbar\Delta(E)$ due to off-resonant bound-free coupling, with the corresponding frequency shift being given by²⁶

$$\Delta(E) = \frac{1}{2\pi} PV \int_0^\infty \frac{\Gamma(E')}{E - E'} dE'. \quad (\text{A.1})$$

Here, PV denotes the Cauchy principal value, which is evaluated numerically using the Cauchy principal value package of the *Mathematica* computational program, and $\Gamma(E)/(2\pi)$ stands for the bound-free transition rate (in s^{-1}) induced by an electric field with photon energy $E = \hbar\omega$. This transition rate can be obtained using Fermi's Golden Rule, an approach which was followed by Dunn²⁷ to calculate cross sections $\sigma_{vJ}(E)$ for photodissociation of H_2^+ . These cross sections are proportional to bound-free radial matrix elements of the form

$$\sigma_{vJ}(E) \propto \frac{E}{\sqrt{E_f}} \left| \int_0^\infty \chi_{E_f J'}(R) D_{12}(R) \chi_{vJ}(R) dR \right|^2, \quad (\text{A.2})$$

where $\chi_{E_f J'}(R)$ represents a free (dissociating) state of nuclear motion in $2p\sigma_u$ with asymptotic energy E_f . E_f is related to E and the dissociation energy E_{vJ}^d of the bound state (v, J) by

$$E = E_{vJ}^d + E_f, \quad (\text{A.3})$$

where we have neglected the small (29 cm^{-1}) isotopic splitting between the $1s\sigma_g$ and $2p\sigma_u$ dissociation limits¹⁷. It is important to note that the shape of $\Gamma(E)$ is governed by these wavefunctions via Eq. (A.2), and that the 'dynamic' content of the shift $\Delta(E)$ is therefore determined by these wavefunctions. We calculate $\chi_{E_f J'}(R)$ for the case of HD^+ by outward numerical integration of Eq. (1) for given energy E_f while using the $2p\sigma_u$ potential of Esry and Sadeghpour¹⁷. We normalize the free-particle wavefunctions as done by Dunn²⁷, after which they may be used to find photodissociation cross sections $\sigma_{vJ}(E)$ for various states with $v = 0 - 7$ and $J = 0, 1$. These cross sections are averages over M levels and therefore suited for a treatment of the shift due to BBR (Sec. 3.3.2). Multiplying $\sigma_{vJ}(E)$ with the flux of photons from the radiation electric field yields the transition (photodissociation) rate $\Gamma_{vJ}(E)$ of state (v, J) :

$$\Gamma_{vJ}(E) = 2\pi\sigma_{vJ}(E) \frac{I}{\hbar\omega} = 2\pi\sigma_{vJ}(E) \frac{c\epsilon_0 \langle \mathcal{E}^2 \rangle}{E}. \quad (\text{A.4})$$

Here, we used the definition of the irradiance $I = c\epsilon_0\langle\mathcal{E}^2\rangle$. Inserting $\Gamma_{vJ}(E)$ into Eq. (A.1) subsequently produces the level shift $\Delta_{vJ}(E)$.

To test the validity of the approximations made in Sec. 3.3.1 we apply Eq. (A.1) to two cases. In the first case, we adopt the approximation of Sec. 3.3.1 by first deriving the mean-square value of the BBR electric field, $\langle\mathcal{E}_{\text{BBR}}^2(T)\rangle$, inserting it into Eq. (A.4), and subsequently calculating the level shift in the limit that $E \rightarrow 0$ (i.e. assuming a static field). In the second case, we obtain the level shift by proper integration of Eq. (A.1) over the BBR energy spectral density.

For the first case, we find $\langle\mathcal{E}_{\text{BBR}}^2(T)\rangle$ from the equation

$$\frac{1}{2}\epsilon_0\langle\mathcal{E}_{\text{BBR}}^2(T)\rangle = \frac{1}{2}W(T),$$

noting that only half of the integrated BBR energy density, $W(T)$, is stored in the electric field. $W(T)$ is found by integrating the BBR energy spectral density $w(\omega, T)d\omega$:

$$\begin{aligned} W(T) &= \int_0^\infty w(\omega, T)d\omega \\ &= \frac{\hbar}{\pi^2 c^3} \int_0^\infty \frac{\omega^3}{e^{\frac{\hbar\omega}{k_B T}} - 1} d\omega \\ &= \frac{\pi^2 (k_B T)^4}{15 (\hbar c)^3}. \end{aligned} \quad (\text{A.5})$$

Inserting $\langle\mathcal{E}_{\text{BBR}}^2(T)\rangle$ into Eq. (A.4), and inserting the resulting transition rate $\Gamma_{vJ}(E, T)$ into Eq. (A.1), we obtain the quasi-static approximation to the frequency shift $\Delta_{vJ, \text{BBR}}^{\text{static}}(T)$ as

$$\Delta_{vJ, \text{BBR}}^{\text{static}}(T) = \lim_{E \rightarrow 0} \Delta_{vJ}(E, T).$$

For the second case, we rewrite the BBR mean-square electric field spectral density as

$$\begin{aligned} \langle\mathcal{E}_{\text{BBR}}^2(\omega, T)\rangle d\omega &= \frac{1}{\epsilon_0} w(\omega, T) d\omega \\ &\equiv \frac{1}{\hbar\epsilon_0} \tilde{w}(E, T) dE. \end{aligned} \quad (\text{A.6})$$

After inserting Eq. (A.6) into Eq. (A.4) we obtain the spectrally integrated dynamic BBR shift $\Delta_{vJ, \text{BBR}}^{\text{dyn}}(T)$ upon evaluating the expression

$$\Delta_{vJ, \text{BBR}}^{\text{dyn}}(T) = \frac{c}{\hbar} PV \int_0^\infty \int_0^\infty \frac{\sigma_{vJ}(E') \tilde{w}(E', T)}{E'(E - E')} dE' dE. \quad (\text{A.7})$$

The errors introduced by the approximation in Sec. 3.3.1 can now be simply evaluated from the ratio $\Delta_{vJ, \text{BBR}}^{\text{static}}(T)/\Delta_{vJ, \text{BBR}}^{\text{dyn}}(T)$ for various states (v, J) and

temperatures T . This is possible even so Eq. (A.2) is incomplete; any numerical prefactor missing there will be common to both methods to compute $\Delta_{vJ, \text{BBR}}(T)$, and cancel out in the ratio. For states with $v \leq 7$, we find that the ratio $1 - \Delta_{vJ, \text{BBR}}^{\text{stat}}(T)/\Delta_{vJ, \text{BBR}}^{\text{dyn}}(T) \leq 0.03$. Comparing shifts due to monochromatic fields in a similar fashion, we observe that

that the ratio $1 - \Delta_{vJ}(0)/\Delta_{vJ}(E) \leq 0.1$ for $\lambda = 4 \mu\text{m}$, and decreases to 0 in the static-field limit. This translates directly to the accuracy of $\alpha_{vJM}(\omega)$ claimed in Sec. 3.3.1.

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